

Patent Attorney Docket No. GEMS8081.037

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Saranathan et al.

Serial No.

09/681,068

Filed

December 19, 2000

For

Acquisition of High-Temporal Free-

Breathing MR Images

Group Art No.

1450

3742

CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10

FAX RECEIVED

Examiner

Robinson, D.

AUG 1 9 2003

I hereby certify that, on the date shown below, this correspondence is being:

GROUP 3700

Mailing deposited with the US Postal Service in an envelope addressed to Commissioner for Patents, Alexandria, VA 22313-

37 CFR 1.8(a)

37 CFR 1.10

with sufficient postage as first class mail

☐ As "Express Mail Post Office to Addressee" Mailing Label No.

Transmission

■ transmitted by facsimile to Fax No.: 703-872-9302 addressed to <u>Examiner Robinson</u> at the Patent and Trademark Office.

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

PETITION FOR SUPERVISORY REVIEW

Dear Sir:

Applicant herein requests supervisory review in the above-captioned application. In the Office Action mailed July 15, 2003, the Examiner substantially regurgitated remarks in support of a requirement for restriction that were originally presented in a Restriction Requirement mailed March 21, 2003. In an Election with Traverse to the

Saranathan et al.

U.S. Serial No. 09/681,068

March 21, 2003 Office Action mailed April 21, 2003, Applicant elected claims 1-9 of Group I. Notwithstanding this election, the Examiner simply provided a restated Restriction Requirement and has yet to provide an action on the merits.

The Examiner has provided an original and now restated four-way restriction of claims 1-32. The Examiner has identified inventions of Groups III and IV and Groups I and II as being related as product and process of use. The Examiner has concluded that in the instant case the process can be practiced with another materially different product such as a product capable of reconstructing an image based on at least one R-R interval or a combination of first and second sets of partial MR image data. Interestingly, the language the Examiner uses to identify the "materially different product" is language taken verbatim from the product claims 10-18 and 19-24. As such, it is clear that the Examiner fails to appreciate the burden that is imposed under MPEP §806.05(h) with respect to the Examiner's burden of providing a viable alternative use.

Applying the analysis given by the Examiner, claims 1-9 and 25-32 are drawn to methods of "use" and, in contrast, claims 10-18 and 19-24 are drawn to "products." However, the examples that the Examiner has provided of materially different products for carrying out the uses of claims 1-9 and 25-32 are the products called for in claims 10-18 and 19-24. As such, it is clear that the Examiner is unclear as to the burden imposed by MPEP §806.05(h) or fails to appreciate that which is called for in claims 1-32.

In other words, the Examiner has concluded that the inventions of Groups III and IV are distinct from the inventions of Groups I and II. The support relied upon by the Examiner in concluding that the inventions are distinct is, simply, that the inventions are distinct. That is, the Examiner has applied circular reasoning to substantiate the conclusion that the inventions are distinct by relying upon the self-serving assertion that the inventions are distinct. However, it is simply not sufficient for the Examiner to state that the inventions are distinct. As set forth in MPEP §806.05(h), the Examiner must provide an example of a materially different product. On two separate occasions, the Examiner has failed to provide the requisite example.

Additionally, as discussed in the original Election and reiterated in the Election filed contemporaneously herewith, the first action taken by the Patent Office in the present case was March 21, 2003, over two years since the application's filing. The first action taken by the Office was a Restriction Requirement and therefore was not on the merits. Applicant provided a full and complete response to the Restriction Requirement as well as making an Election with Traverse on April 21, 2003 wherein Applicant elected

Saranathan et al.



claims 1-9 of Group I. To date, however, Applicant has yet to receive an action on the merits. Specifically, the Examiner has issued a "restated" Restriction Requirement mailed July 15, 2003 wherein, as noted above, the Examiner simply regurgitated with one simple change in phraseology the restriction remarks previously presented in the Restriction Requirement mailed March 21, 2003. Notwithstanding the election of claims 1-9 on April 21, 2003, the Examiner elected to issue a restatement of the original restriction and ignored Applicant's original election. As such, it will be well over two and one-half years since the application's filing for the Office to issue a substantive action on the merits with respect to the claimed invention.

Further, the Examiner clearly failed to consider the Traversal Remarks provided in the original Election mailed April 21, 2003 despite those remarks being substantially prohibitive with respect to the Examiner's original and restated restrictions. Applicant believes that had the Examiner considered the Traversal Remarks presented in the first Election, the need to issue a restated Restriction Requirement would have been obviated. As such, by the Examiner's issuance of a restated Restriction Requirement, the Examiner has merely delayed substantive examination of the application thereby increasing the time to issuance as well as Applicant's cost in prosecuting the application. Accordingly, Applicant respectfully believes that supervisory review of the present application is necessary and warranted.

A fee transmittal authorizing charging of deposit account 07-0845 in the amount of \$130.00 for the requisite Petition fee is enclosed.

Applicant cordially invites the Examiner's supervisor to contact the undersigned to further expedite the handling of this matter.

Respectfully submitted,

J. Mark Wilkinson Registration No. 48,865 Direct Dial 262-376-5016 jmw@zpspatents.com

Dated: August 15, 2003

Attorney Docket No.: GEMS8081.037

P.O. ADDRESS:

Ziolkowski Patent Solutions Group, LLC 14135 N. Cedarburg Rd. Mequon, WI 53097-1416 262-376-5170

FAX RECEIVED

AUG 1 9 2003

GROUP 3700

BEST AVAILABLE COPY

3ROUP 3700

PTO/SB/17 (05-03)
Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB co							VIB control number.	
				Complete if Known				
FEE TRANSMITTAL			Application Number		nber	09/681,068		
			Filing Date			12/19/2000		
for FY 2003			First Named Inventor		entor	Saranathan, et al.		
Effective 01/01/2003. Patent fees are subject to annual revision.			Examiner Name)	D. Robinson		
			Art Unit			3742		
Applicant Claims small entity status. See 37 CFR 1.27			Attorney Docket No. GEMS8081,037					
TOTAL AMOUNT OF PAYMENT (\$) 130.00								
METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)					
Check Credit card Money Other None								
	Large Fee	rge Entity Small Entity Fee Fe			Fee Pald			
X Deposit Account	Code	Fee (\$)	Code	(\$)			166-910	
Account Number 07-0845	1051	130	2051	65	Surchargo – late filing fee or cath			
Deposit Account 1		50	2052	25	Surch: cover	irge – late provisional filling fee or sheet		
The Director is authorized to: (check all that apply)		130	1053	130	Non-E	nglish specification		
X Charge (ee(s) indicated below Credit any overpayments		2,520	1812	2,520		g a request for ex parte reexamination		
Charge any additional fee(s) during the pendency of this application		920*	1804	920*		sting publication of SIR prior to ner action		
Charge fee(s) indicated below, except for the filling fee		1,840*	1805	1,840*	Requesting publication of SIR after Examiner action			
to the above-identified deposit account.			1				<u># 8</u>	
FEE CALCULATION		110	2251	55	Extens	ion for reply within first month	W 2	
1. BASIC FILING FEE		410	2252	205		ion for reply within second month	Φ	
Large Entity Small Entity	1253	930	2253	465		ion for reply within third month	<u> </u>	
Fee Fee Fee Fee Description Fee Paid	1254	1,450	2254	725		ion for reply within fourth month	Ω	
1001 750 2001 375 Utility filing fee	1255	1,970	2255	985		ion for reply within lifth month	→ ∋	
1002 330 2002 165 Design filing fee	1401 1402	320 320	2401	160 160		of Appeal a brief in support of an appeal	3 5	
1003 520 2003 260 Plant filing fee	1403	280	2403	140	•	st for oral hearing		
1004 750 2004 375 Relssue filing fee	1451	1.510	1451	1.510	•	n to institute a public use proceeding		
1005 100 2005 BO PTOVISIONALIBILITY ICC	1452	110	2452	55		n to revive « unavoidable		
SUBTOTAL (1) (\$)		1,300	2453	650	Petitio	n to revive – unintentional		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,300	2501	650	Utility i	ssue fee (or reissue)		
Fee from Extra Claims bolow Fee Paid	1502	470	2502	235	Design	Issue fee		
Total Claims 20**= X =	1503	630	2503	315	Plant i	ssue fee		
independent 3**= X x	1460	130	1480	130	Petitio	ns to the Commissioner	\$130.00	
Multiple Dependent	1807	50	1807	50	Proces	sing fee under 37 CFR 1.17(q)]	
Large Entity Small Entity	1806	180	1806	180	Submi	ssion of Information Disclosure Strnt		
Fee Fee Fee Fee <u>Fee Description</u> Code (\$)	8021	40	8021	40	proper	ling each patent assignment per y (tmes number of properties)		
1202 18 2202 9 Claims in excess of 20	1809	750	2809	375	Filing : (37 CF	submission after final rejection R § 1.129(a))		
1201 84 2201 42 Independent claims in excess of 3	1810	750	2810	375		ch additional invention to be ned (37 CFR § 1.129(b))		
1203 280 2203 140 Multiple dependent claim, if not paid	1801	750	2801	375	Reque	st for Continued Examination (RCE)		
1204 84 2204 42 **Reissue independent claims over original patent	1802	900	1802	900		st for expedited examination sign application		
1205 18 2205 9 **Reissue claims in excess of 20			•		3. 7 00			

SUBMITTED BY

Name (Print/Type) Timothy J. Zlolkowski Peglaration No. Preglaration No. Date

Signalure Date

*Reduced by Basic Filing Foo Paid

Other fee (specify)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

s collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patenti and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

SUBTOTAL (3)

(\$) 130.00

SUBTOTAL (2) (\$)

" or number previously paid, if greater; For Reissmes, see shove